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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,554	01/11/2002	John Brixius	3499-97

CONFIRMATION NO. 7333

FORMALITIES LETTER



OC000000007456837

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166-0153

Date Mailed: 02/11/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

T. H. Sew
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Post Office as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on

Date:

Name:

Signature:

8-8-02
Melissa Scanzillo
Melissa Scanzillo
Clifford Chance Rogers & Wells LLP

Docket No. 3499-097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brixius et al.

Filed: January 11, 2002

Group Art Unit: 2164

Serial No: 10/043,554

Examiner: to be determined

For: METHOD AND SYSTEM FOR VENTURE
CAPITALIST DISTRIBUTION OF STOCK

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents
BOX: MISSING PARTS
Washington, D.C. 20231

RECEIVED

OCT 03 2002

OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed)
dated February 12, 2002 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney.
2. ☒ A petition for Extension of Time.
3. ☒ Petition Under 37 CFR §1.47 in Support of Accepting a Declaration
when an Inventor Refuses to Sign.
4. ☒ Declaration of Ms. Cristina Carbone and Exhibits

Please make the Declaration and Power of Attorney of record in the above-identified application.

To: OIPE

Kindly print a corrected
filing receipt to include
only the inventors as
listed on the Declaration

Thank you.

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

8. Aug. 2002

Respectfully submitted,

Mitchell S. Feller

Mitchell S. Feller

Reg. No. 42,530

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